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Attorney's Docket No.: 42P18076 Patent

In re the Application of: Liu et al.
(inventor(s))

Application No.: 10/731,559

Filed: December 9, 2003

For: METHOD AND APPARATUS FOR ISOLATING AN ACTIVE REGION IN AN OPTICAL WAVEGUIDE

(title)

Mail Stop Amendment
COMMISSIONER FOR PATENTS
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Alexandria, VA 22313-1450

SIR: Transmitted herewith is a **Substitute Amendment** for the above-referenced application.

 Applicant claims small entity status. See 37 CFR 1.27.

 X No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 28	Minus	** 30	0	X25	\$	X50	\$ 0
Indep. Claims	* 3	Minus	*** 3	0	X100	\$	X200	\$ 0
First Presentation of Multiple Dependent Claim(s)					+180	\$	+360	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

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Yuko Tanaka
Name of Person Mailing Correspondence

Y. Tanaka May 17, 2006
Signature Date

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).

_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to 37 C.F.R. § 1.136(a).

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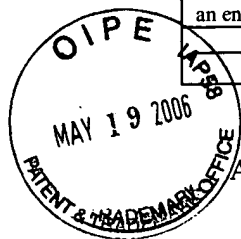
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Attorney Docket No.: 42P18076

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
LIU et al.) Examiner: Wong, Tina M.
Application No.: 10/731,559) Art Unit: 2874
Filed: December 9, 2003)
For: METHOD AND APPARATUS FOR)
ISOLATING AN ACTIVE REGION IN)
AN OPTICAL WAVEGUIDE)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBSTITUTE AMENDMENT

Sir/Madam:

In response to the Notice of Non-Compliant Amendment mailed May 11, 2006, Applicants respectfully request the Examiner to enter the following amendments and consider the following remarks. The label on claim 13 has been corrected to recite “(original)” to indicate that no amendments have been implemented on this claim.